





QUALIFICATION PACK – OCCUPATIONAL STANDARD FOR INFRASTRUCTURE EQUIPMENT INDUSTRY

What are Occupational Standards(OS)?

- Solution OS describe what individuals need to do, know and understand in order to carry out a particular job role or function
- OS are performance standards that individuals must achieve when carrying out functions in the workplace, together with specifications of the underpinning knowledge and understanding

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Contents

- 1. Introduction and Contacts.... Page no. 1
- 2. Qualifications Pack.....Page no.2
- 3. OS Units......Page no.2
- 4. Glossary of Key TermsPage no.3

Introduction

Qualification Pack - Excavator Operator

SECTOR: INFRASTRUCTURE EQUIPMENT

SUB SECTOR: Equipment Operations

OCCUPATION: Operator

REFERENCE ID: IES/Q0103

ALIGNED TO: NCO-2004/8332.2

Brief Job Description:An excavator operator drives and controls the excavator operations; the movement and angle of the arm and the equipment. He determines the angle and speed of the movements of the arm and bucket along the vertical axis.

Personal Attributes:This job requires the individual to be extremely careful and diligent and have high level of concentration. Hard work and strong work ethics, courteous behavior with co – workers are equally desirable. He should also be physically agile, strong, have good eye sight and not suffer from colour-blindness.







Qualifications Pack Code	IES/Q0103				
Job Role		Excavator Operator			
Credits(NSQF)	TBD	TBD Version number 1.0			
Sector	Infrastructure Equipment	Drafted on	16/02/15		
Sub-sector	Equipment Operations	Last reviewed on	31/03/15		
Occupation	Operator	Next review date	31/03/17		
NSQC clearance on	31/03/15				

Job Role	Excavator Operator		
Role Description	Drives and controls an excavating machine which is a self – propelled machine used to dig and dump above the base level of the machine		
NSQF level	4		
Minimum Educational Qualifications*	Preferably Class VIII		
Maximum Educational Qualifications*	NA		
Training	Training in excavator handling preferred. Must have a valid		
(Suggested but not mandatory)	Heavy Commercial Vehicle Driving License (HCV)		
Minimum Job Entry Age	Preferably 18 years		
Experience	In lieu of minimum qualification, the incumbent should have 2 years of experience in driving excavator.		
Applicable National Occupational Standards (NOS)	Compulsory 1. IES/N0107Carry out pre-operation checks on an excavator 2. IES/N0108Operate an excavator 3. IES/N0109Perform routine maintenance and troubleshooting of a excavator 4. IES/N7601Comply with worksite health and safety guidelines Optional: N.A.		
Performance Criteria	As described in the relevant OS units		







Keywords /Terms	Description
Sector	Sector is a conglomeration of different business operations having similar
	businesses and interests. It may also be defined as a distinct subset of the
	economy whose components share similar characteristics and interests.
Sub-sector	Sub-sector is derived from a further breakdown based on the characteristics
	and interests of its components.
Vertical	Vertical may exist within a sub-sector representing different domain areas
	or the client industries served by the industry.
Occupation	Occupation is a set of job roles, which perform similar/related set of
	functions in an industry.
Function	Function is an activity necessary for achieving the key purpose of the sector,
	occupation, or area of work, which can be carried out by a person or a
	group of persons. Functions are identified through functional analysis and
	form the basis of OS.
Sub-functions	Sub-functions are sub-activities essential to fulfil achieving the objectives of
	the function.
Job role	Job role defines a unique set of functions that together form a unique
	employment opportunity in an organization.
Occupational Standards	OS specify the standards of performance an individual must achieve when
(OS)	carrying out a function in the workplace, together with the knowledge and
	understanding; he/she needs to meet that standard consistently.
	Occupational Standards are applicable both in the Indian and global
	contexts.
Performance Criteria	Performance Criteria are statements that together specify the standard of
	performance required when carrying out a task.
National Occupational	NOS are Occupational Standards which apply uniquely in the Indian context.
Standards (NOS)	
Qualifications Pack Code	Qualifications Pack Code is a unique reference code that identifies a
	qualifications pack.
Qualifications Pack(QP)	Qualifications Pack comprises the set of OS, together with the educational,
	training and other criteria required to perform a job role. A Qualifications
	Pack is assigned a unique qualification pack code.
Unit Code	Unit Code is a unique identifier for an Occupational Standard, which is
	denoted by an 'N'.
Unit Title	Unit Title gives a clear overall statement about what the incumbent should
	be able to do.
Description	Description gives a short summary of the unit content. This would be
	helpful to anyone searching on a database to find the required one.
Scope	Scope is the set of statements specifying the range of variables that an
	individual may have to deal with in carrying out the function which have a
	critical impact on the quality of required performance.
Knowledge and	Knowledge and Understanding are statements which together specify the
Understanding	technical, generic, professional and organizational specific knowledge that
	an individual needs in order to perform up to the required standard.







Acronyms

Keywords /Terms	Description
OS	Occupational Standard(s)
NOS	National Occupational Standard(s)
QP	Qualifications Pack
NSQF	National Skill Qualifications Framework
ITI	Industrial Training Institute
HCV	Heavy Commercial Vehicle
SHE	Safety Health and Environment
SOP	Standard Operating Procedure
TBD	To Be Determined







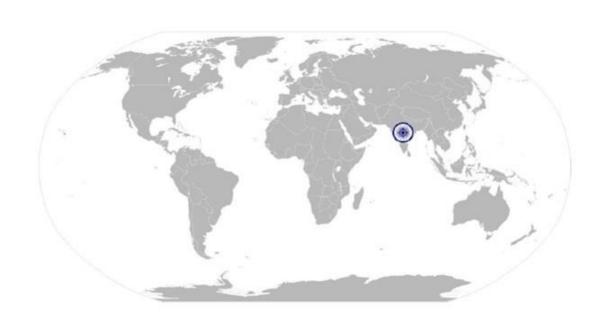


National Occupational Standards

IES/N0107

Carry out pre-operation checks on an excavator

National Occupational Standard



Overview

This unit provides Performance Criteria, Knowledge & Understanding and Skills & Ability for activities that need to be carried out to prepare the excavator for a shift







IES/N0107	Carry out pre-operation checks on an excavator
Unit Code	IES/N0107
Unit Title (Task)	Carry out pre-operation checks on an excavator
Description	This unit provides Performance Criteria, Knowledge & Understanding and Skills & Ability for activities that need to be carried out to prepare the excavator for a shift
Scope	This unit/task covers the following:
	Pre-operation checks
	Documentation and Reporting
Performance Criteria(PC	, ,
Element	Performance Criteria
Pre Operation Checks	To be competent, the user/individual on the job must be able to: PC1. Adhere to time limits given by supervisor PC2. Ensure that turret and undercarriage are free of cracks and wear PC3. Confirm that the track & sprockets - for wear or cracked teeth PC4. Ensure that the boom and the stick are free of dents and cracked welds PC5. Confirm that the forks is without bends PC6. Make sure that oil levels of engine, transmission, radiant coolant and brake are as per manufacturer's indicators PC7. Check differential and hydraulic oil levels PC8. Check the hydraulic hose and ram for leakages PC9. Conduct checks to ensure proper condition of parking brake, main horn, reverse horn and head light PC10. Check fan belt tension, electrolyte level and terminal tightness PC11. Conduct visual inspection to check the various controls, gauges, warning
	lamp and ensure that all safety and maintenance decals are available PC12. Check load chart is displayed in cabin PC13. Check and adjust driving position, rear and side mirrors, seat belts and set them as per comfort level PC14. Check the circle turn gear and clean if required PC15. Ensure air filter dust bowls are clean and check the gasket and inner filter PC16. Check and ensure that all cabin controls including electronic display are functioning properly PC17. Get water and sediments drained from the fuel tank PC18. Ensure coolant and oil in engine, transmission, etc. is topped up, if necessary as per manufacturer's indicators PC19. Ensure that all greasing pins and pivots points are appropriately greased PC20. Examine the compressor unit and all fittings and air lines
Documentation and	 PC21. Check clearance of the drawbar ball and socket, look for excessive free-play, and adjust if necessary PC22. Ensure the locking bar is in position to prevent the front and rear chassis moving and creating a crushing zone (articulated machines only) PC23. Keep footplates and steps clean and free from mud, dirt and oil PC24. Certify that no one is under or on the machine, before operating PC25. Maintain a checking/maintenance logbook to record all activities







IES/N0107	Carry out pre-operation checks on an excavator			
Reporting	performed before starting the excavator			
	PC26. Report defects precisely to the supervisor if beyond scope of his role			
Knowledge and Unders	tanding (K)			
A. Organizational	The user/individual on the job needs to know and understand:			
Context	KA1. The organization's procedures and guidelines related to Breakdown &			
(Knowledge of the	Maintenance services			
company /	KA2. The performance standards & procedures followed in the company			
organization and	KA3. Reporting structure in the company			
its processes)	KA4. Escalation matrix for reporting unresolved problems			
	KA5. Timeframe in which the complaint/problem should be resolved			
	KA6. Work target and review mechanism with supervisor for obtaining/			
	giving feedback related to performance process			
	KA7. Location of tools			
	KA8. Contact person in case of queries on procedure or products			
	KA9. Location and process for storage and disposal of waste material			
	KA10. Safety Policy of the company			
B. Technical	The user/individual on the job needs to know and understand:			
Knowledge	KB1. Different types of excavators and their use and function			
ŭ	KB2. Introduction to engine, transmission, their use and function			
	KB3. Different types of hydraulic mechanisms			
	KB4. Principles of friction			
	KB5. Steering mechanisms and correct way of steering on slopes			
	KB6. Significance of greasing and oiling parts of excavator that need routine			
	lubrication			
	KB7. Instrument panel / cabin controls, their location and operation			
	KB8. Controls, levers and switches in order to operate the excavator properly			
	KB9. Optimal working condition of Excavator components e.g. hoist, propel			
	system, power train, hoist, boom & stick and other attachments			
	KB10. Optimal engine oil pressure, radiator coolant temperature			
	KB11. Visual checks to identify damage, defects, cracks or leaks beforehand			
Skills (S)				
A. Core Skills /	Writing Skills			
Generic Skills	The user/ individual on the job needs to know and understand how to:			
	SA1. Record any deviations/ incidents as per prescribed norms			
	Reading Skills			
	The user/ individual on the job needs to know and understand how to:			
	SA2. Read and comprehend basic English to read manuals of operations			
	SA3. Read instructions, guidelines/procedures/rulesrelated to the worksite			
	and equipment operations			
	Oral Communication (Listening and Speaking Skills)			
	The user/ individual on the job needs to know and understand how to:			
	SA4. Give clear instructions to co-workers, subordinates and other personnel			
	SA5. Use correct technical terms while interacting with supervisor			
B. Professional Skills	Decision Making			
D. FIOIESSIONAL SKINS	The user/ individual on the job needs to know and understand how to:			
	SB1. Decide when to conduct maintenance checks			
	351. Decide when to conduct maintenance thecks			









National Occupational Standards

IES/N0107 Carry out pre-operation checks on an excavator

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The user/individual on the job needs to know and understand how to:

- SB2. Work with supervisors/ team mates to carry out work related tasks
- SB3. Plan work according to the required schedule and location
- SB4. Plan for cleaning and lubricating the <appropriate equipment> every day

Customer Centricity

The user/individual on the job needs to know and understand how to:

SB5. Provide service of the highest order to ensure customer satisfaction

Problem Solving

The user/individual on the job needs to know and understand how to:

SB6. Identify immediate or temporary solutions to resolve mechanical issues

SB7. Judge when to seek assistance from supervisor

Analytical Thinking

The user/individual on the job needs to know and understand how to:

SB8. Identify cause and effect relations in his area of work

Critical Thinking

The user/individual on the job needs to know and understand how to:

SB9. Analyse, evaluate and apply the information gathered from observation, experience, reasoning, or communication to act efficiently



NOS Version Control

NOS Code		IES/N0107	
Credits(NSQF)	TBD	Version number	1.0
Sector	Infrastructure Equipment	Drafted on	16/02/15
Industry Sub-sector	Equipment Operations	Last reviewed on	31/03/15
Occupation	Operator	Next review date	31/03/15

Back To Top





National Occupational Standards





IES/N0108

Operate an excavator

National Occupational Standard



Overview

This unit provides Performance Criteria, Knowledge & Understanding and Skills & Ability for activities that are required for operating an excavator.







National Occupational Standards

IES/N0108	Operate an excavator
Unit Code	IES/N0108
Unit Title (Task)	Operate an excavator
Description	This unit provides Performance Criteria, Knowledge & Understanding and Skills
	& Ability for activities that are required for operating an excavator.
Scope	This unit/task covers the following:
	Excavator operations
	Load hauling and loading
	Load dumping
Performance Criteria(Po	C) w.r.t. the Scope
Element	Performance Criteria
Excavator operations	To be competent, the user/individual on the job must be able to:
	PC1. Plan and organize the job according to given instructions
	PC2. Inspect the worksite to identify and loose soil, hidden deep trenches or
	marshy patches where excavator could get stuck
	PC3. Start the engine using ignition switch
	PC4. Use the primping pump and pre-heater to start the engine in cold
	weather conditions
	PC5. Identify and select the appropriate attachment to be used for
	performing the task at a work site
	PC6. Use the emergency stop button to disable all power to the excavator in
	case of a crisis, as per operator manual
Load hauling and	PC7. Operate the shifting quadrant device for selecting the correct gear
loading	range
	PC8. Ensure excavator load and operating speed is within limits specified by
	the manufacturer
	PC9. Look out for people working and hazards such as trenches, potholes and cables
	PC10. Adhere to time limits given by the supervisors
	PC11. Perform in-operation visual checks on critical temperature and pressure
	gauges
	PC12. Select and use the right type of brake in different situations and
	conditions
	PC13. Ensure that walkway rules e.g. operating the excavator within the
	permissible/ allocated areas are followed
	PC14. Utilize judiciously various signaling devices available in the excavator as
	such as turn signal, parking indicator, air horn etc.
	PC15. Keep a safe distance from a tip edge and use an approved stop block
	before tipping over an edge
	PC16. Keep a safe distance from other plant or vehicles
	PC17. Judge the grade of the excavator travel limitation and operate
	accordingly
Load Dumping	PC18. Ensure that excavator is always parked on firm, level ground; with
	handbrake applied and drive and controls disengaged
	PC19. Operate the body hoist control handle to maneuver the hydraulic ram

that tips the dump







IES/N0108	Operate an excavator
	PC20. Discharge the load safely at the position and in the manner designated
	by the supervisor
	PC21. Ensure that no other operators travel on or stand near the Excavator
	PC22. Inform supervisor of any problems while operating the Excavator
Knowledge and Underst	
A. Organizational	The user/individual on the job needs to know and understand:
Context (Knowledge of the	KA1. The organization's procedures and guidelines related to Breakdown & Maintenance services
company /	KA2. The performance standards & procedures followed in the company
organization and	KA3. Reporting structure in the company
its processes)	KA4. Escalation matrix for reporting unresolved problems
	KA5. Timeframe in which the complaint/problem should be resolved
	KA6. Work target and review mechanism with supervisor for obtaining/
	giving feedback related to performance process
	KA7. Location of tools
	KA8. Contact person in case of queries on procedure or products
	KA9. Location and process for storage and disposal of waste material
	KA10. Safety Policy of the company
B. Technical	The user/individual on the job needs to know and understand:
Knowledge	KB1. Different types of excavators and their specific use
	KB2. Appropriate usage of various attachments such as
	excavation bucket
	extreme excavation bucket
	skeleton bucket
	ditch cleaning bucket
	tiltable ditch cleaning bucket
	trapezoidal bucket, quick couplers
	pin grabber plus quick coupler
	• hammers
	• rock breaker
	• contractor's grapples
	demolition and sorting grapples
	and orange peel grapples etc
	KB3. Distinguish between different types of attachments
	KB4. Introduction to engine, transmission, their use and function KB5. Different types of hydraulic mechanisms
	KB5. Different types of hydraulic mechanisms KB6. Principles of friction
	KB7. Steering mechanisms and correct way of steering on slopes
	KB8. Significance of greasing and oiling, parts if Excavator that need routine
	lubrication / daily maintenance activity which is mandatory
	KB9. Instruments panel, their location and operation
	KB10. Controls, levers and switches in order to operate the excavator properly
	KB11. Optimal working condition of Excavator components e.g. hoist, propel
	system, power train and other attachments
	KB12. Optimal engine oil pressure, radiator coolant temperature
	KB13. Visual checks to identify damage, defects or leaks beforehand







IES/N0108	Operate an excavator		
	KB14. General safety rules vis-à-vis digging and stacking		
	KB15. Dangers of digging in vicinity of misfired shots		
	KB16. All safety signs and other emergency signals		
	KB17. Correct maintenance procedures for excavator		
	KB18. The location and procedure of using the emergency stop button		
	KB19. Response to emergencies e.g. correct way to use fire extinguisher		
Skills (S)			
A. Core Skills /	Writing Skills		
Generic Skills	The user/ individual on the job needs to know and understand how to:		
	SA1. Record any deviations/ incidents as per prescribed norms		
	Reading Skills		
	The user/ individual on the job needs to know and understand how to:		
	SA2. Read and comprehend basic English to read manuals of operations		
	SA3. Interpret stakes and signage on the road and during worksite operations		
	SA4. Read instructions, guidelines/procedures/rulesrelated to the worksite		
	and equipment operations		
	Oral Communication (Listening and Speaking Skills)		
	The user/ individual on the job needs to know and understand how to:		
	SA5. Give clear instructions to co-workers, subordinates and other personnel		
	SA6. Use correct technical terms while interacting with supervisor		
B. Professional Skills	Decision Making		
	The user/ individual on the job needs to know and understand how to:		
	SB1. Assess for any damage/faulty component in the excavator and take		
	action accordingly		
	SB2. Decide when to perform appropriate driving operations i.e forward,		
	reverse, 'U' turn, tight spot		
	Plan and Organize		
	The user/ individual on the job needs to know and understand how to:		
	SB3. Work with supervisors/ team mates to carry out work related tasks		
	SB4. Plan work according to the required schedule and location		
	Customer Centricity		
	The user/ individual on the job needs to know and understand how to:		
	SB5. Provide service of the highest order to ensure customer satisfaction		
	Problem Solving		
	The user/ individual on the job needs to know and understand how to:		
	SB6. Identify immediate or temporary solutions to resolve mechanical issues		
	SB7. Judge when to seek assistance from supervisor		
	Analytical Thinking		
	The user/ individual on the job needs to know and understand how to:		
	SB8. Identify possible ways to improve operational efficiency		
	SB9. Suggest methods to avoid accidents/errors while operating machine		
	Critical Thinking		
	The user/ individual on the job needs to know and understand how to:		
	SB10. Analyse, evaluate and apply the information gathered from		
	observation, experience, reasoning, or communication to act efficiently		









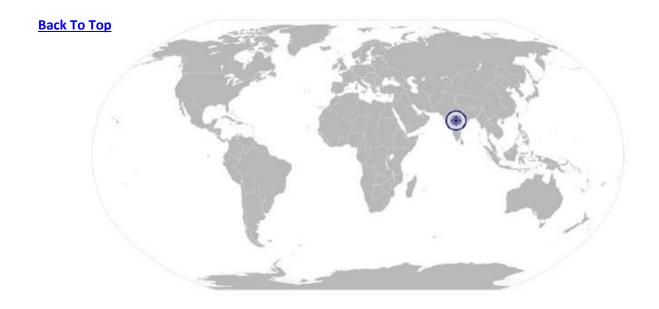


IES/N0108

Operate an excavator

NOS Version Control

NOS Code	IES/N0108		
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Sector	Infrastructure Equipment	Drafted on	16/02/15
Industry Sub-sector	Equipment Operations	Last reviewed on	31/03/15
Occupation	Operator	Next review date	31/03/15







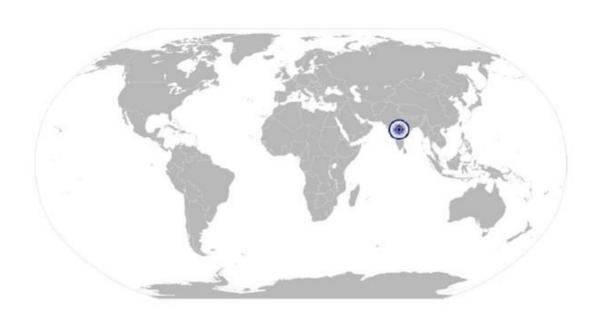






IES/N0109 Perform routine maintenance and troubleshooting of a excavator

National Occupational Standard



Overview

This unit provides Performance Criteria, Knowledge & Understanding and Skills & Ability for activities that are required for performing routine maintenance and troubleshooting on the excavator.







IES/N0109	Perform routine	maintenance and	troubleshooting	of a excavator
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Unit Code	IES/N0109
Unit Title (Task)	Perform routine maintenance and troubleshooting of a excavator
Description	This unit provides Performance Criteria, Knowledge & Understanding and Skills
2000	& Ability for activities that are required for performing routine maintenance
	and troubleshooting on the excavator.
Scope	This unit/task covers the following:
Зсоре	This unity task covers the following.
	a Douting maintanance
	Routine maintenance
	Basic diagnostics and troubleshooting
	Documentation and Reporting
Performance Criteria(Po	
Element	Performance Criteria
Routine maintenance	To be competent, the user/individual on the job must be able to:
	PC1. Assess the right service schedule by tracking machine operating hours
	PC2. Clean air filter dust bowls at regular intervals
	PC3. Clean footplates, pedals and steps free from mud, dirt, ice and snow at
	regular intervals
	PC4. Drain water and sediment/ fuel separators everyday
	PC5. Replenish coolants, lubricants and fluids everyday
	PC6. Grease all greasing pins and pivot points everyday
	PC7. Check battery levels and condition of the terminals and carry out minor
	adjustments if required
	PC8. Check and maintain the tyre rims, air pressure, wheel nuts and treads as
	per manufacturer's indicators
Basic diagnostics and	To be competent, the user/individual on the job must be able to:
troubleshooting	To be competent, the aser/marriadal on the job mast be able to.
troublesmooting	PC9. Ensure the machine is on firm and level ground before attempting to
	carry out any maintenance; track machine operating hours to assess the
	right service schedule
	PC10. Complete timely and legibly daily/ weekly maintenance sheets as
	provided by the company
	PC11. Ensure the locking bar is in position to prevent the front and rear chassis
	moving and creating a crushing zone (articulated machines only)
	PC12. Ensure that suitable props/ support devices are used and the bucket is
	not raised while performing maintenance
	-
	PC13. Ensure that no maintenance task on the engine is performed when
	running or still hot
	PC14. Assess when the problem is beyond his competence and report the
	problem to suitably qualified and competent personnel
	PC15. Diagnose the problem
	PC16. Handle and dispose waste based on environmental guidelines at the
B	work place
Documentation and	PC17. Follow reporting procedures as laid down by the employer
Reporting	PC18. Complete all documentation in the prescribed standards in a timely
	manner
	PC19. Report and escalate problems/ incidents as required in a timely manner







National Occupational Standards

IES/N0109 Perform routine maintenance and troubleshooting of a excavator

	S/NUIUS TEITUITI	PC20. Report defects precisely to the supervisor if beyond scope of his role
Kn	owledge and Unders	
	Organizational	The user/individual on the job needs to know and understand:
A.	Context (Knowledge of the company / organization and its processes)	 KA1. The organization's procedures and guidelines related to Breakdown & Maintenance services KA2. The performance standards & procedures followed in the company KA3. Reporting structure in the company KA4. Escalation matrix for reporting unresolved problems KA5. Timeframe in which the complaint/problem should be resolved KA6. Work target and review mechanism with supervisor for obtaining/giving feedback related to performance process KA7. Location of tools KA8. Contact person in case of queries on procedure or products KA9. Location and process for storage and disposal of waste material
		KA10. Safety Policy of the company
	Technical Knowledge	The user/individual on the job needs to know and understand: KB1. The organization's procedures and guidelines related to Breakdown & Maintenance services KB2. The performance standards & procedures followed in the company KB3. Reporting structure in the company KB4. Escalation matrix for reporting unresolved problems KB5. Timeframe in which the complaint/problem should be resolved KB6. Work target and review mechanism with supervisor for obtaining/ giving feedback related to performance process KB7. Location of tools KB8. Contact person in case of queries on procedure or products KB9. Location and process for storage and disposal of waste material KB10. Safety Policy of the company
Ski	lls (S)	
A.	Core Skills /	Writing Skills
	Generic Skills	The user/ individual on the job needs to know and understand how to: SA1. Record any deviations/ incidents as per prescribed norms
		Reading Skills
		The user/ individual on the job needs to know and understand how to: SA2. Read and comprehend basic English to read manuals of operations SA3. Read instructions, guidelines/procedures/rulesrelated to the worksite and equipment operations
		Oral Communication (Listening and Speaking Skills)
		The user/ individual on the job needs to know and understand how to: SA4. Give clear instructions to co-workers, subordinates and other personnel SA5. Use correct technical terms while interacting with supervisor
В.	Professional Skills	Decision Making
		The user/ individual on the job needs to know and understand how to: SB1. Decide when to conduct maintenance checks SB2. Evaluate the decision and conduct basic trouble shooting Plan and Organize
		4.04.114







National Occupational Standards

IES/N0109 Perform routine maintenance and troubleshooting of a excavator

The user/individual on the job needs to know and understand how to:

- SB3. Work with supervisors/ team mates to carry out work related tasks
- SB4. Plan work according to the required schedule and location
- SB5. Plan for regular maintenance on a daily basis before machine operations

Customer Centricity

The user/individual on the job needs to know and understand how to:

SB6. Provide service of the highest order to ensure customer satisfaction

Problem Solving

The user/individual on the job needs to know and understand how to:

- SB7. Identify immediate or temporary solutions to resolve mechanical issues
- SB8. Judge when to seek assistance from supervisor

Analytical Thinking

The user/individual on the job needs to know and understand how to:

- SB9. Identify possible ways to improve operational efficiency
- SB10. Check for damages and diagnose common problems in the excavator and take relevant action

Critical Thinking

The user/individual on the job needs to know and understand how to:

SB11. Analyse, evaluate and apply the information gathered from observation, experience, reasoning, or communication to act efficiently

NOS Version Control

NOS Code	£	IES/N0109	1
Credits(NSQF)	TBD	Version number	1.0
Sector	Infrastructure Equipment	Drafted on	16/02/15
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Back To Top







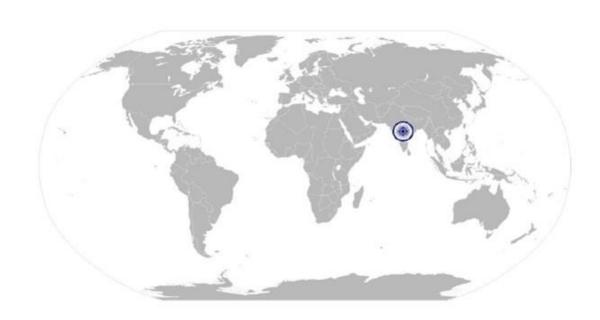




IES/N7601

Comply with worksite health and safety guidelines

National Occupational Standard



Overview

This unit is about adhering to health and safety requirements at the worksite during equipment operations.







IES/N7601	Comply with worksite health and safety guidelines			
Unit Code	IES/N7601			
Unit Title (Task)	Comply with worksite health and safety guidelines			
Description	This unit is about adhering to health and safety requirements at the worksite			
	during equipment operations.			
Scope	This unit/task covers the following:			
	worksite health and safety			
Performance Criteria(P	C) w.r.t. the Scope			
Element	Performance Criteria			
Worksite health and	To be competent, the user/individual on the job must be able to:			
safety	PC1. Comply with safety, health, security and environment related			
	regulations/ guidelines at the work site			
	PC2. Use Personal Protective Equipment (PPE) and other safety gear such as			
	seat belt, body protection, respiratory protection, eye protection, ear			
	protection and hand protection			
	PC3. Follow safety measures during operations to ensure that the health and			
	safety of self or others (including members of the public) is not at risk			
	PC4. Carry out operations as per the manufacturer's and worksite related			
	health and safety guidelines			
	PC5. Handle the transport, storage and disposal of hazardous materials and			
	waste in compliance with worksite health, safety and environmental			
	guidelines			
	PC6. Follow safety regulations and procedures with regard to worksite			
	hazards and risks			
	PC7. Operate various grades of fire extinguishers, as applicable			
	PC8. Support in administering basic first aid and report to concerned team			
	members, as required, in case of an accident			
	PC9. Respond promptly and appropriately to an accident/ incident or			
	emergency situation, within limits of your role and responsibility			
	PC10. Record and report details related to operations, incidents or accidents,			
	as applicable			
Knowledge and Unders				
A. Organizational	The user/individual on the job needs to know and understand:			
Context	KA1. Health, safety, environmental(HSE) and security related policies/			
(Knowledge of the	guidelines of the organization and the worksite			
company /	KA2. The importance of complying with health, safety, environmental and			
organization and	security guidelines at the worksite and during operations			
its processes)	KA3. Contact details of personnel responsible for Health, Safety and			
	environment (HSE) related matters			
	KA4. Location of worksite storage, SHE team and safe assembly points			
	KA5. Concerned personnel to reach out in case of emergencies and			
	accidents/ incidents			
	KA6. Reporting and documentation procedures for HSE and security matters			
B. Technical	The user/individual on the job needs to know and understand:			
Knowledge	KB1. Manufacturer's guidelines related to health and safety requirements			







IES/N7601 C	Comply with worksite health and safety guidelines			
	KB2. Common types of health, safety, environment and security risks related			
	to the worksite and operations			
	KB3. Types, use and importance of Personal Protective Equipment (PPE) and			
	other safety gear			
	KB4. Safe working practices to avoid common hazards and risks			
	KB5. Guidelines for transport, storage and disposal of hazardous materials			
	and waste			
	KB6. Types of common hazards and risks at the worksite including fire,			
	electrical, gas emergencies, accidents, incidents, structure collapse,			
	machine breakdown			
	KB7. Knowledge of safe lockdown/ stop of machinery use in case of			
	emergencies and incidents/ accidents			
	KB8. Types of fire extinguishers and their use			
	KB9. Common injuries and appropriate basic first aid treatment eg. electrical			
	shock, bleeding, wounds, fractures, minor burns, eye injuries			
Skills (S)	M/ 22			
A. Core Skills /	Writing Skills			
Generic Skills	The user/ individual on the job needs to know and understand how to:			
	SA1. Document and report any health and safety related incidents/			
	accidents			
-	Reading Skills			
	The user/ individual on the job needs to know and understand how to:			
	SA2. Read and comprehend basic English to read manuals of operations SA3. Read all organizational and equipment related health and safety			
	manuals and documents SA4. Read instructions, guidelines/procedures/rulesrelated to the worksite			
	and equipment operations			
	Oral Communication (Listening and Speaking Skills)			
	The user/ individual on the job needs to know and understand how to:			
	SA5. Give clear instructions to co-workers, subordinates and other personnel			
	SA6. Use correct technical terms while interacting with supervisor			
B. Professional Skills	Decision Making			
	The user/ individual on the job needs to know and understand how to:			
	SB1. Make an appropriate timely decision in responding to			
	emergencies/accidents in line with organizational/ worksite guidelines			
	SB2. Use correct PPE and other safety gear while at the worksite			
	Plan and Organize			
	The user/ individual on the job needs to know and understand how to:			
	SB3. Work with supervisors/ team mates to carry out work related tasks			
	SB4. Plan work according to the required schedule and location			
	Customer Centricity			
	The user/ individual on the job needs to know and understand how to:			
	SB5. Build and maintain positive and effective relationships with colleagues			
	and customers			
	Problem Solving			
	The user/ individual on the job needs to know and understand how to:			









National Occupational Standards

1ES/N7601 (Comply with worksite health and safety guidelines					
	SB6. Seek appropriate assistance from other sources to resolve problems					
	Analytical Thinking					
	The user/ individual on the job needs to know and understand how to:					
	SB7. Assess the intensity of the fire accident and operate fire extinguishers					
	Critical Thinking					
	The user/individual on the job needs to know and understand how to:					
	SB8. Analyse, evaluate and apply the information gathered from					
	observation, experience, reasoning, or communication to act efficiently					

NOS Version Control

NOS Code		IES/N7601		
Credits(NSQF)	TBD	Version number	1.0	
Sector	INFRASTRUCTURE EQUIPMENT	Drafted on	16/02/15	
Industry Sub-sector	Equipment Operations	Last reviewed on	31/03/15	
Occupation	Operator	Next review date	31/03/15	

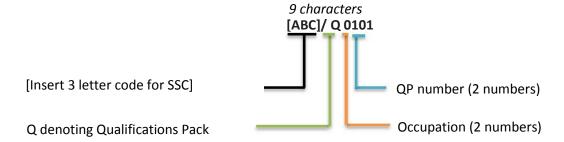
Back To Top



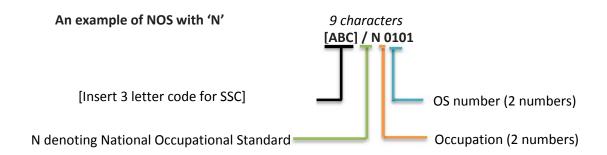


Nomenclature for QP and NOS units

Qualifications Pack



Occupational Standard







Nomenclature for QP and NOS units

The following acronyms/codes have been used in the nomenclature above:

Sub-sector	Range of Occupation numbers
Equipment Operations	1 to 10
Equipment Service and spares	11 to 20
Equipment Production	21 to 40
Equipment Sales	41 to 50
Equipment Financing	51 to 55
Core Enablers	56 to 65
Other Enablers	66 to 75
Common Occupations	76 to 85

Sequence Description		Example	
Three letters	Industry name	IES	
Slash	/	/	
Next letter	Whether Q P or N OS	N	
Next two numbers	Occupation code	01	
Next two numbers	OS number	01	







CRITERIA FOR ASSESSMENT OF TRAINEES

Job Role Excavator Operator

Qualification Pack IES/Q0103

Sector Skill Council Infrastructure & Equipment

Guidelines for Assessment

- 1. Criteria for assessment for each Qualification Pack will be created by the Sector Skill Council. Each Performance Criteria (PC) will be assigned marks proportional to its importance in NOS. SSC will also lay down proportion of marks for Theory and Skills Practical for each PC
- 2. The assessment for the theory part will be based on knowledge bank of questions created by the SSC
- 3. Individual assessment agencies will create unique question papers for theory part for each candidate at each examination/training center (as per assessment criteria below)
- 4. Individual assessment agencies will create unique evaulations for skill practical for every student at each examination/training center based on this criteria
- 5. To pass the Qualification Pack, every trainee should score a minimum of 70% aggregate
- 6. In case of successfully passing only certain number of NOS's, the trainee is eligible to take subsequent assessment on the balance NOS's to pass the Qualification Pack

		Marks Allocation			
NOS	Performance Criteria		Out Of	Theory	Practical
	PC1. Adhere to time limits given by supervisor	70	2	1	1
	PC2. Ensure that turret and undercarriage are free of cracks and wear		3	0	3
	PC3. Confirm that the track & sprockets - for wear or cracked teeth		3	1	2
IES/N0107 Carry out pre-	PC4. Ensure that the boom and the stick are free of dents and cracked welds		3	0	3
operation checks on an excavator	PC5. Confirm that the forks is without bends		3	0	3
	PC6. Make sure that oil levels of engine, transmission, radiant coolant and brake are as per manufacturer's indicators		3	1	2
	PC7. Check differential and hydraulic oil levels		3	0	3
	PC8. Check the hydraulic hose and ram for leakages		2	0	2
	PC9. Conduct checks to ensure proper condition of parking brake, main horn, reverse horn and head light	1	4	1	3







PC10. Check fan belt tension, electrolyte level and terminal tightness		2	0	2
PC11. Conduct visual inspection to check the various controls, gauges, warning lamp and ensure that all safety and maintenance decals are available		3	1	2
PC12. Check load chart is displayed in cabin		2	0	2
PC13. Check and adjust driving position, rear and side mirrors, seat belts and set them as per comfort level		3	0	3
PC14. Check the circle turn gear and clean if required		2	0	2
PC15. Ensure air filter dust bowls are clean and check the gasket and inner filter		4	1	3
PC16. Check and ensure that all cabin controls including electronic display are functioning properly		3	0	3
PC17. Get water and sediments drained from the fuel tank		1	0	1
PC18. Ensure coolant and oil in engine, transmission, etc. is topped up, if necessary as per manufacturer's indicators		2	0	2
PC19. Ensure that all greasing pins and pivots points are appropriately greased		3	0	3
PC20. Examine the compressor unit and all fittings and air lines		4	1	3
PC21. Check clearance of the drawbar ball and socket, look for excessive free play, and adjust if necessary		2	0	2
PC22. Ensure the locking bar is in position to prevent the front and rear chassis moving and creating a crushing zone (articulated machines only)		4	1	3
PC23. Keep footplates and steps clean and free from mud, dirt and oil		3	1	2
PC24. Certify that no one is under or on the machine, before operating		2	0	2
PC25. Maintain a checking/maintenance logbook to record all activities performed before starting the excavator		3	1	2
PC26. Report defects precisely to the supervisor if beyond scope of his role		1	0	1
	Total	70	10	60
IES/N0108 PC1. Plan and organize the job according to given instructions	60	2	0	2







excavator	PC2. Inspect the worksite to identify and loose soil, hidden deep trenches or marshy patches where excavator could get stuck	3	0	3
	PC3. Start the engine using ignition switch	3	0	3
	PC4. Use the primping pump and pre-heater to start the engine in cold weather conditions	3	0	3
	PC5. Identify and select the appropriate attachment to be used for performing the task at a work site	4	1	3
	PC6. Use the emergency stop button to disable all power to the excavator in case of a crisis, as per operator manual	2	0	2
	PC7. Operate the shifting quadrant device for selecting the correct gear range	4	1	3
	PC8. Ensure excavator load and operating speed is within limits specified by the manufacturer	4	1	3
	PC9. Look out for people working and hazards such as trenches, potholes and cables	2	0	2
	PC10. Adhere to time limits given by the supervisors	2	0	2
	PC11. Perform in-operation visual checks on critical temperature and pressure gauges	3	0	3
	PC12. Select and use the right type of brake in different situations and conditions	4	1	3
	PC13. Ensure that walkway rules e.g. operating the excavator within the permissible/ allocated areas are followed	2	0	2
	PC14. Utilize judiciously various signaling devices available in the excavator as such as turn signal, parking indicator, air horn etc.	2	0	2
	PC15. Keep a safe distance from a tip edge and use an approved stop block before tipping over an edge	3	1	2
	PC16. Keep a safe distance from other plant or vehicles	2	0	2
	PC17. Judge the grade of the excavator travel limitation and operate accordingly	2	0	2
	PC18. Ensure that excavator is always parked on firm, level ground; with handbrake applied and drive and controls disengaged	3	1	2
	PC19. Operate the body hoist control handle to maneuver the hydraulic ram that tips the dump	3	0	3







	PC20. Discharge the load safely at the position and in the manner designated by the supervisor		3	0	3
	PC21. Ensure that no other operators travel on or stand near the Excavator		2	0	2
	PC22. Inform supervisor of any problems while operating the Excavator		2	0	2
		Total	60	6	54
	PC1. Assess the right service schedule by tracking machine operating hours	55	3	0	3
	PC2. Clean air filter dust bowls at regular intervals		4	1	3
	PC3. Clean footplates, pedals and steps free from mud, dirt, ice and snow at regular intervals		3	0	3
	PC4. Drain water and sediment/ fuel separators everyday		3	1	2
	PC5. Replenish coolants, lubricants and fluids everyday		3	0	3
	PC6. Grease all greasing pins and pivot points everyday		3	0	3
	PC7. Check battery levels and condition of the terminals and carry out minor adjustments if required		2	0	2
IES/N0109 Perform routine maintenance	PC8. Check and maintain the tyre rims, air pressure, wheel nuts and treads as per manufacturer's indicators		4	1	3
and troubleshooting of a excavator	PC9. Ensure the machine is on firm and level ground before attempting to carry out any maintenance; track machine operating hours to assess the right service schedule		4	1	3
	PC10. Complete timely and legibly daily/ weekly maintenance sheets as provided by the company		2	0	2
	PC11. Ensure the locking bar is in position to prevent the front and rear chassis moving and creating a crushing zone (articulated machines only)		3	0	3
	PC12. Ensure that suitable props/ support devices are used and the bucket is not raised while performing maintenance		4	1	3
	PC13. Ensure that no maintenance task on the engine is performed when running or still hot		2	0	2
	PC14. Assess when the problem is beyond his competence and report the problem to suitably qualified and competent personnel		2	0	2







	PC15. Diagnose the problem		2	0	2
	PC16. Handle and dispose waste based on environmental guidelines at the work place		3	0	3
	PC17. Follow reporting procedures as laid down by the employer		2	0	2
	PC18. Complete all documentation in the prescribed standards in a timely manner		2	0	2
	PC19. Report and escalate problems/ incidents as required in a timely manner		2	0	2
	PC20. Report defects precisely to the supervisor if beyond scope of his role		2	0	2
		Total	55	5	50
IES/N7601 Comply with worksite health and safety guidelines	PC1. Comply with safety, health, security and environment related regulations/ guidelines at the work site		3	1	2
	PC2. Use Personal Protective Equipment (PPE) and other safety gear such as seat belt, body protection, respiratory protection, eye protection, ear protection and hand protection		3	0	3
	PC3. Follow safety measures during operations to ensure that the health and safety of self or others (including members of the public) is not at risk		3	1	2
	PC4. Carry out operations as per the manufacturer's and worksite related health and safety guidelines	25	3	1	2
	PC5. Handle the transport, storage and disposal of hazardous materials and waste in compliance with worksite health, safety and environmental guidelines		2	0	2
	PC6. Follow safety regulations and procedures with regard to worksite hazards and risks		2	0	2
	PC7. Operate various grades of fire extinguishers, as applicable		2	0	2
	PC8. Support in administering basic first aid and report to concerned team members, as required, in case of an accident		2	0	2
	PC9. Respond promptly and appropriately to an accident/incident or emergency situation, within limits of your role and responsibility		3	1	2
	PC10. Record and report details related to operations, incidents or accidents, as applicable		2	0	2
		Total	25	4	21